

# Introduction to Language Modeling

H. Andrew Schwartz

CSE538 - Spring 2024



# Language Modeling

-- assigning a probability to sequences of words.

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 $= P(w_n | w_1, w_2, \dots, w_{n-1})$   
:probability of a next word given history

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$$P(\text{He ate the cake with the fork}) = ?$$

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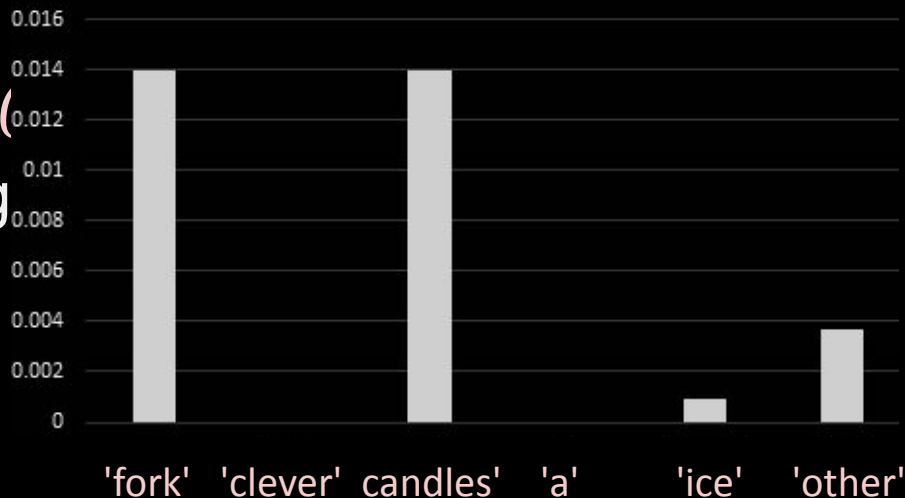
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$$P(\text{fork} | \text{He ate the cake})$$



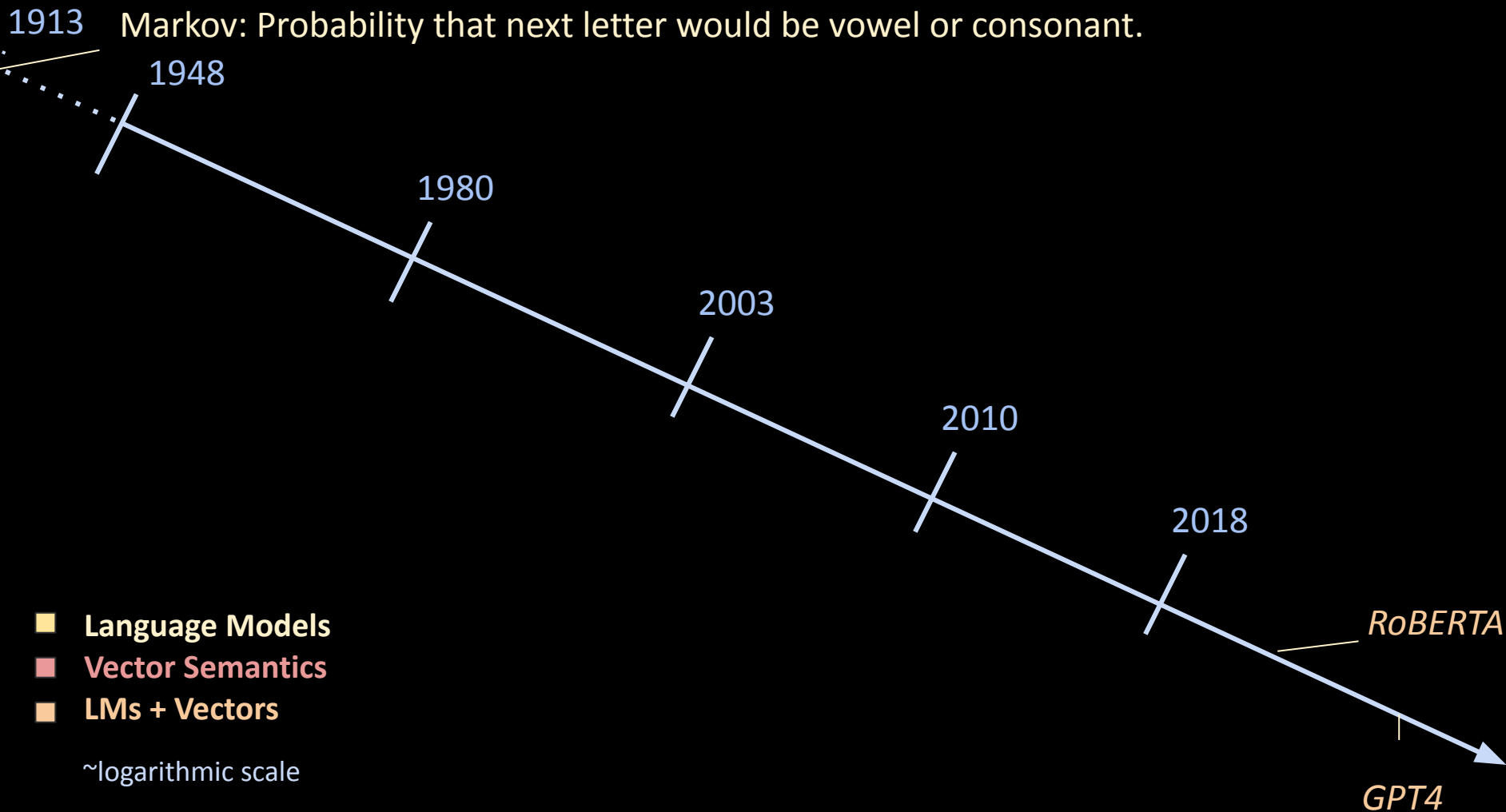
# Language Modeling

## Applications:

- Auto-complete: What word is next?
- Machine Translation: Which translation is most likely?
- Spell Correction: Which word is most likely given error?
- Speech Recognition: What did they just say?  
“eyes aw of an”

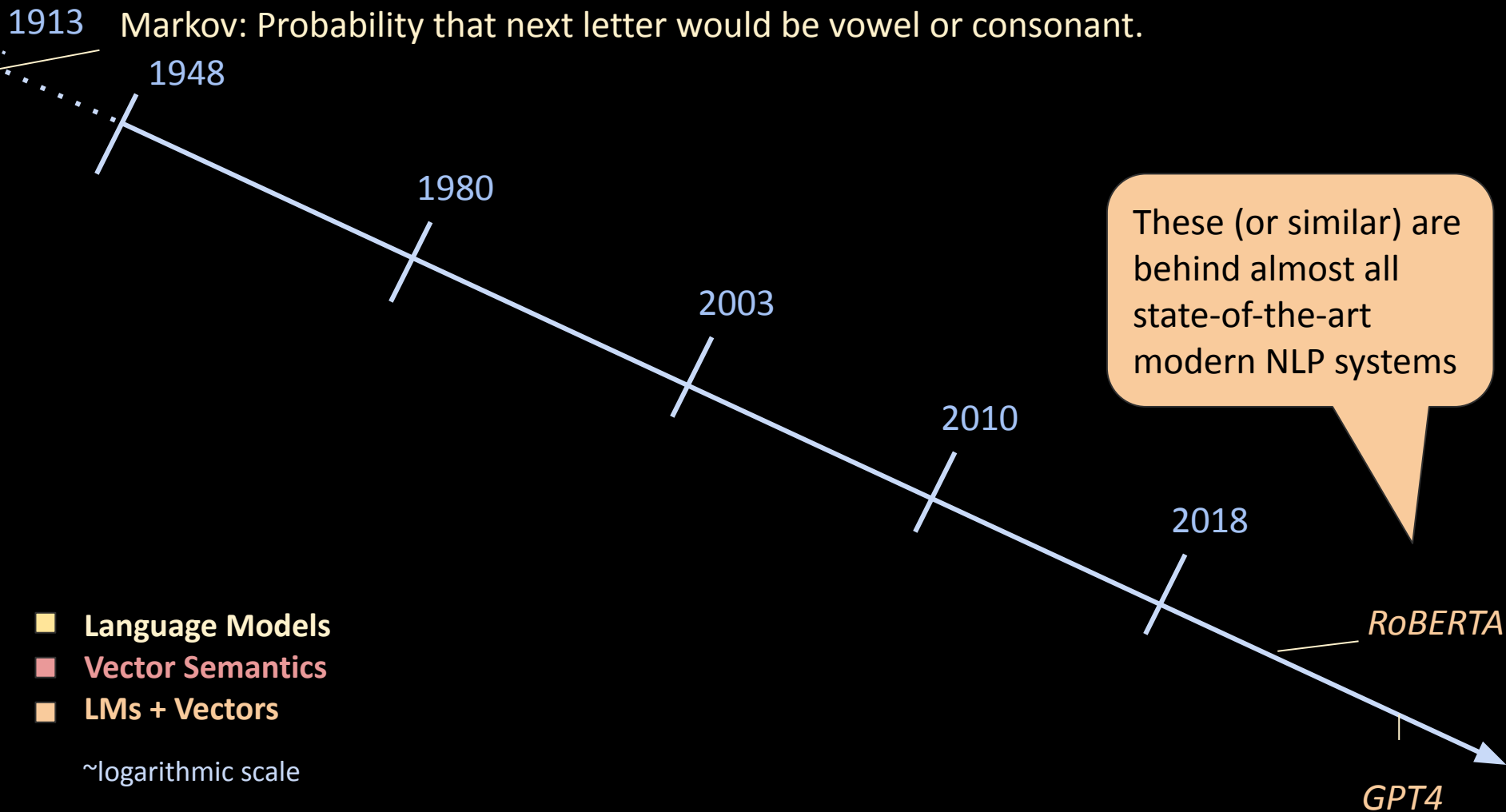
(example from Jurafsky, 2017; *did you say "giraffe ski 2,017"?*)

# Timeline: *Language Modeling* and *Vector Semantics*

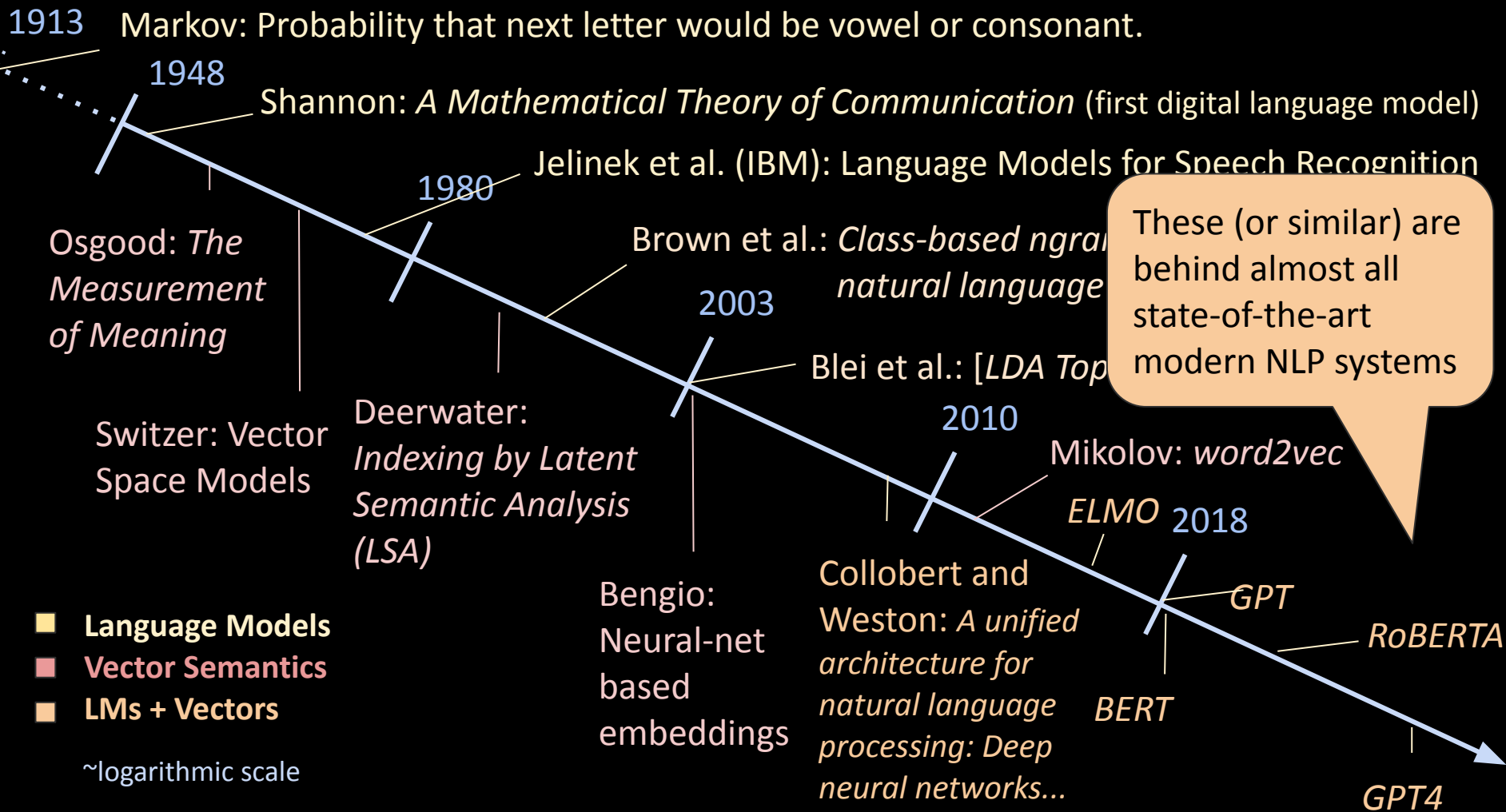




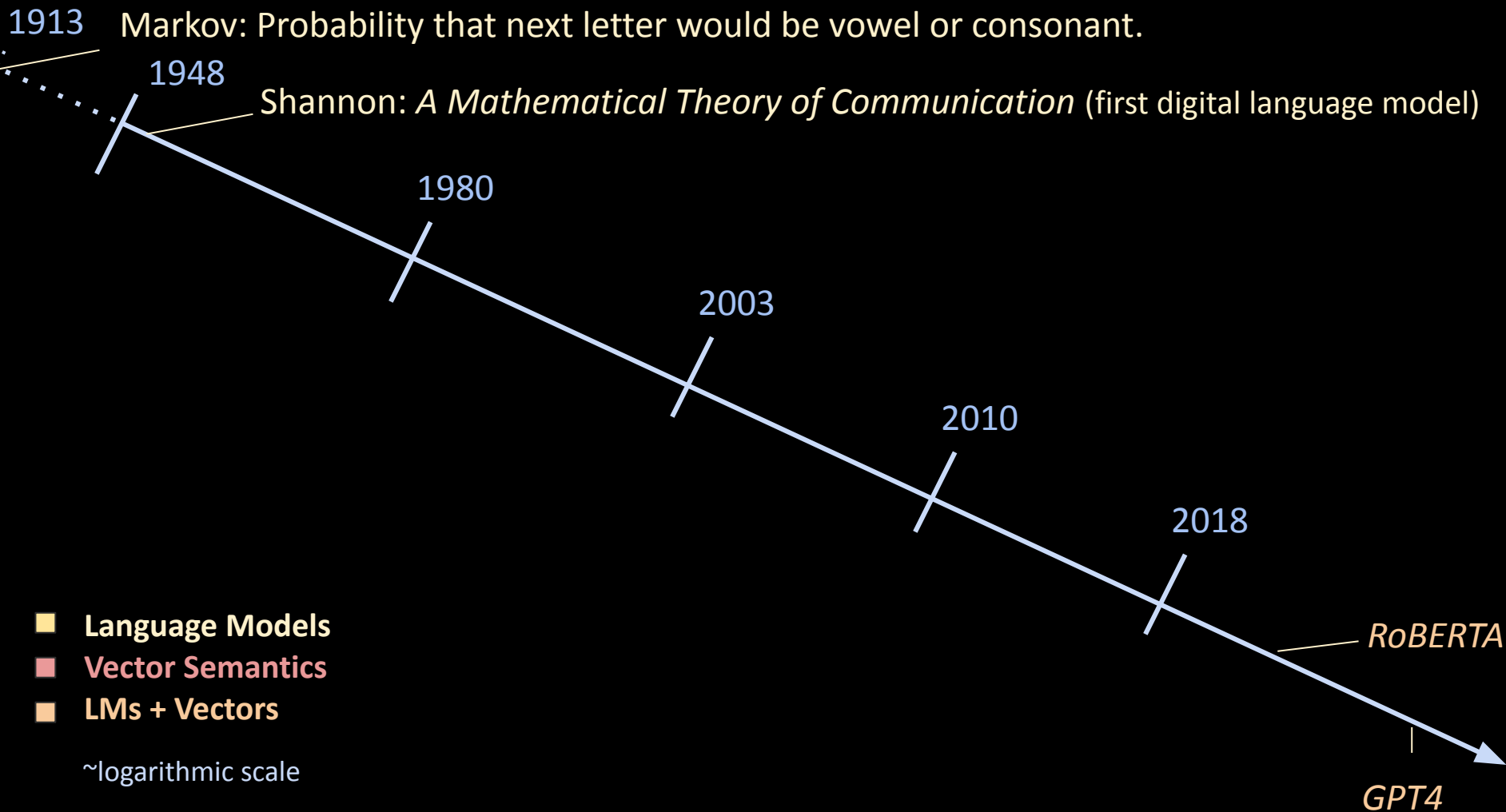
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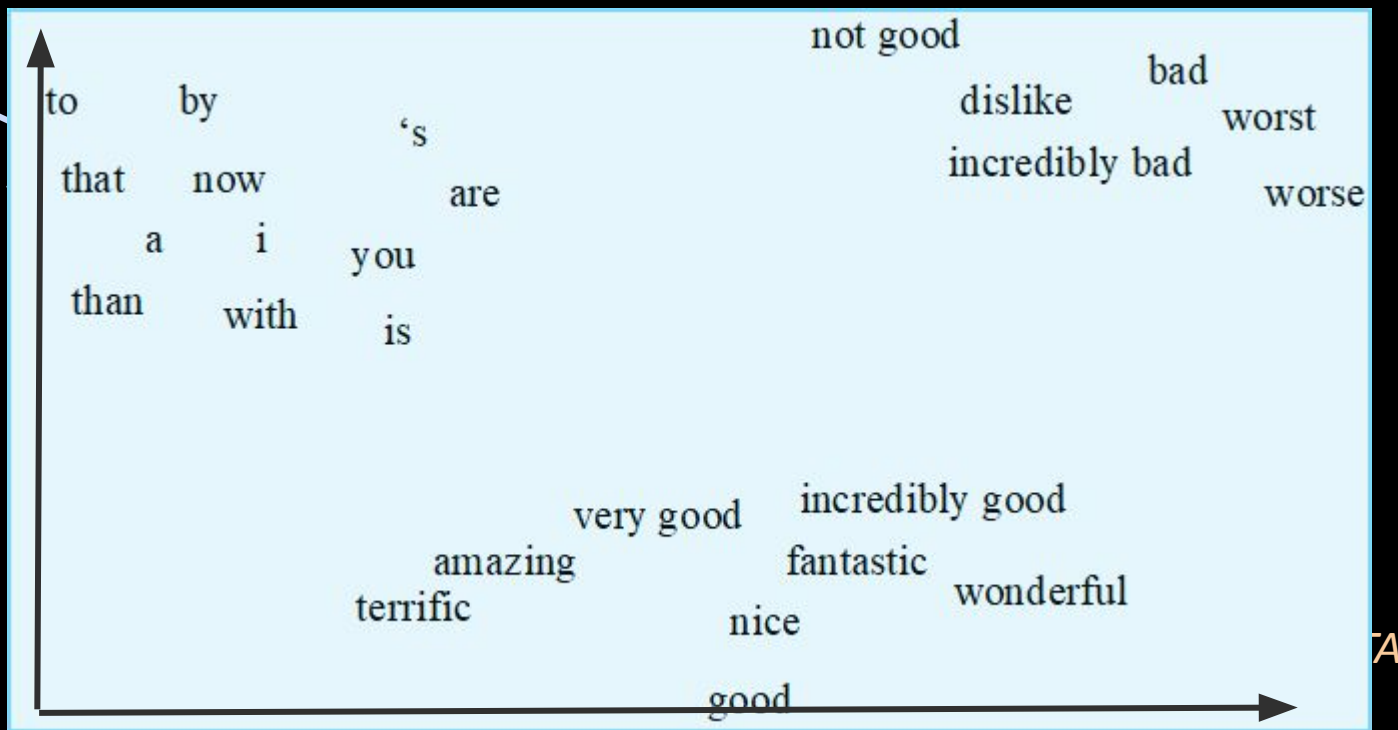
# Timeline: *Language Modeling* and *Vector Semantics*

1913 Markov: Probability that next letter would be vowel or consonant.

# 1948

Shannon: *A Mathematical Theory of Communication* (first digital language model)

## Osgood: *The Measurement of Meaning*



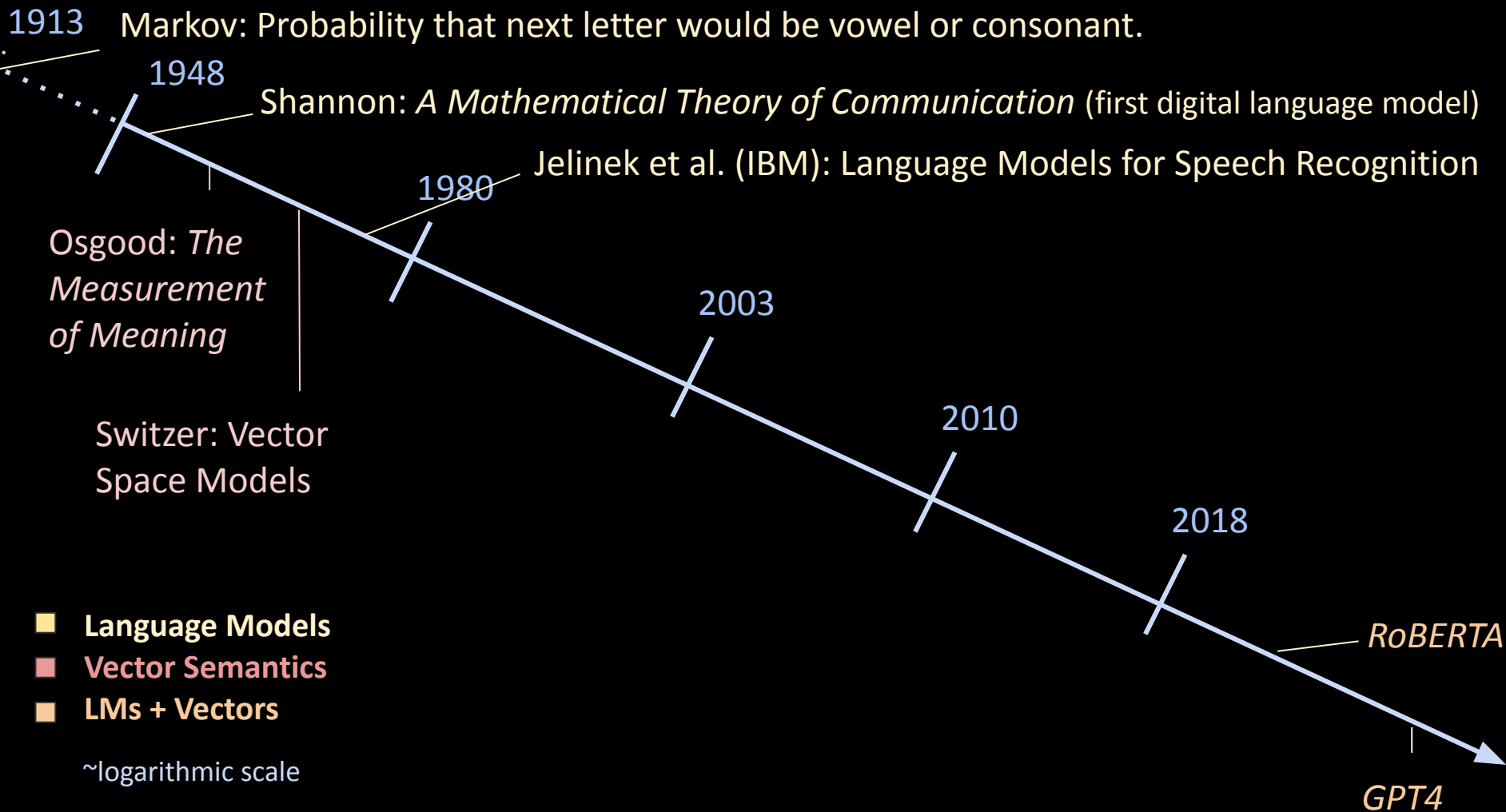
- Language Models
- Vector Semantics
- LMs + Vectors

~logarithmic scale

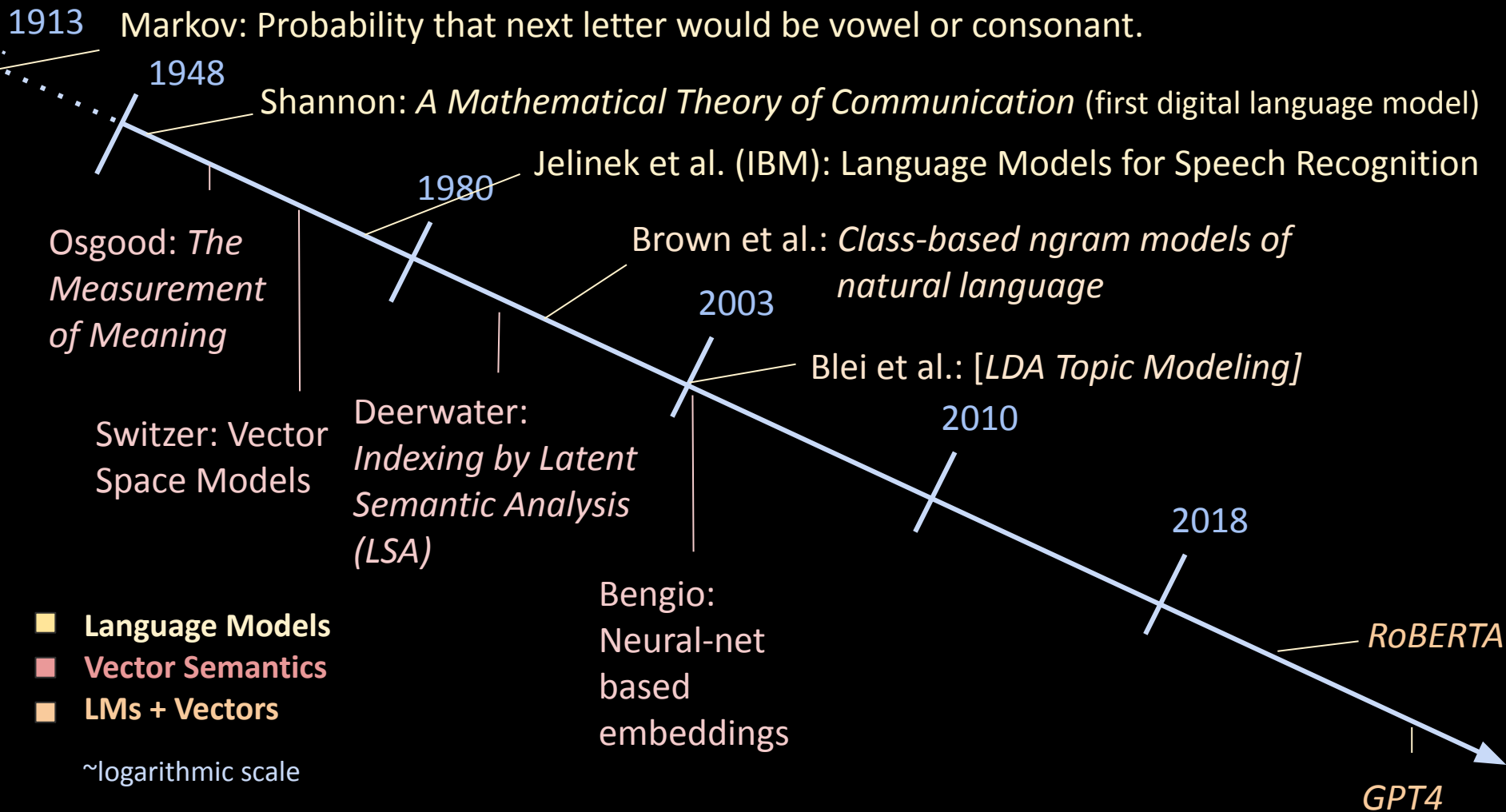
(Li et al., 2015; Jurafsky et al., 2019)

# GPT3.5

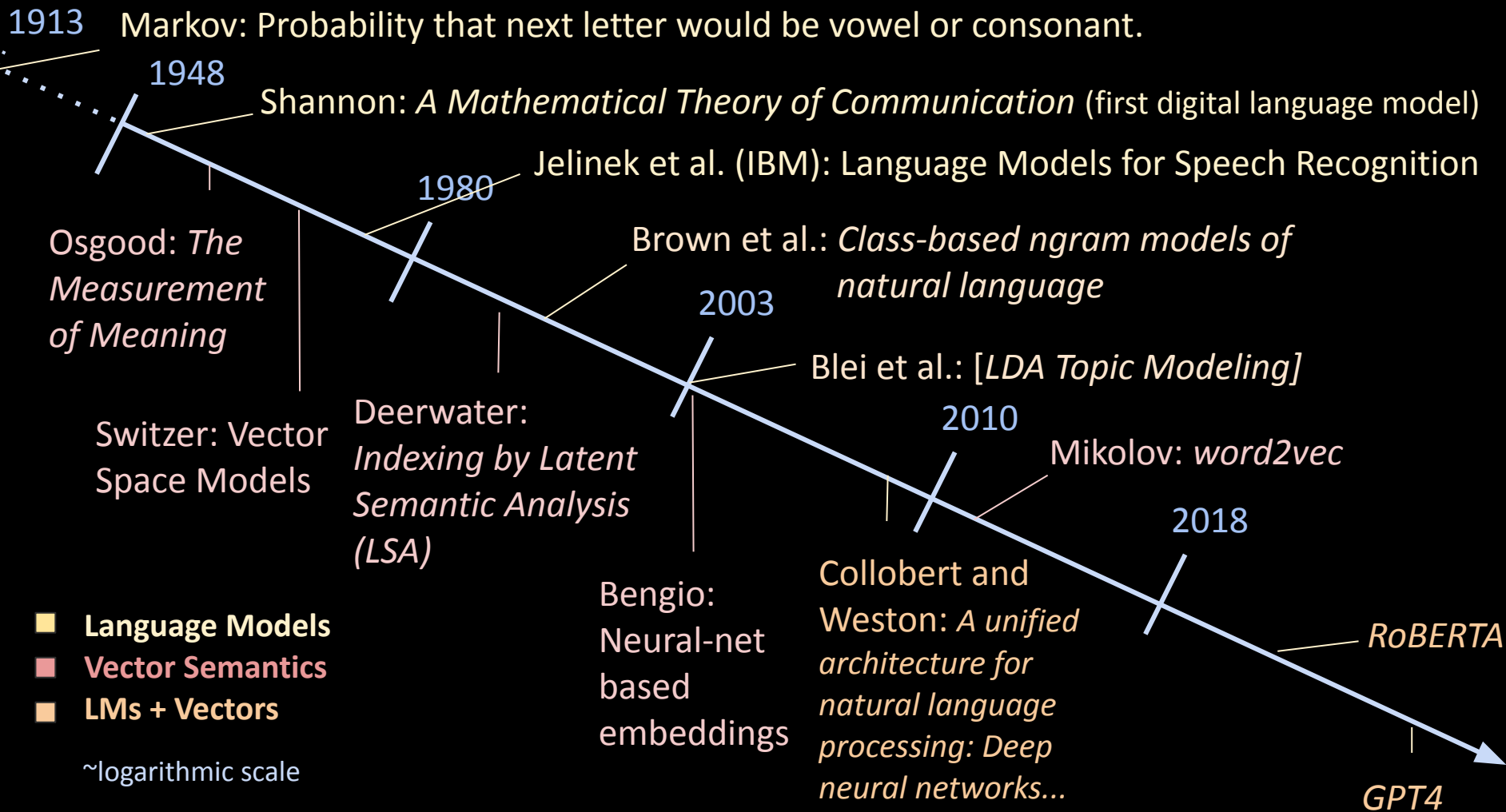
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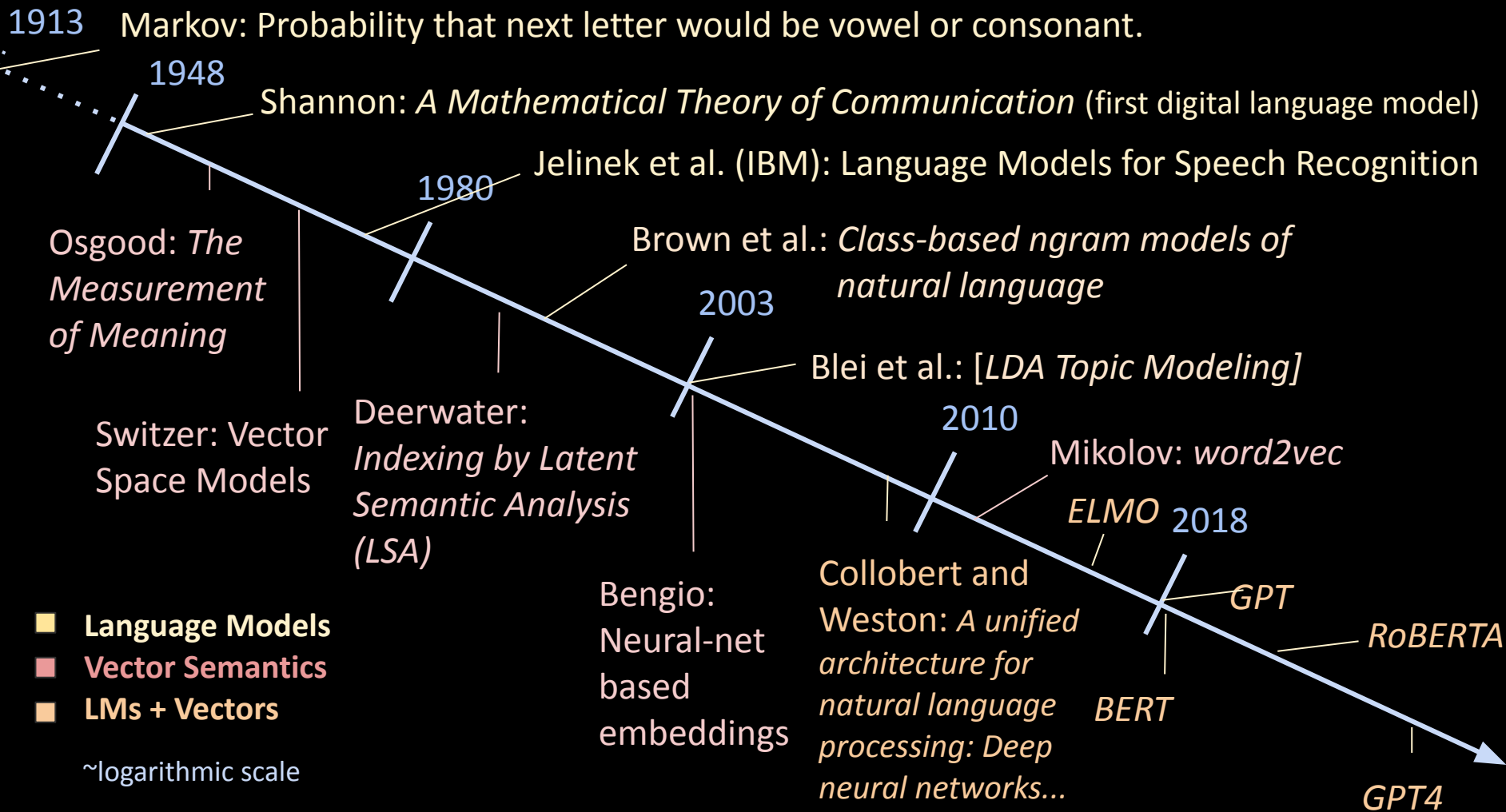
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# Simple Solution

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$P(\text{He ate the cake with the fork}) =$

$$\frac{\text{count}(\text{He ate the cake with the fork})}{\text{count}(* * * * * * *)}$$

# Simple Solution: The Maximum Likelihood Estimate

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total number of  
observed *7grams*

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**A solution:** Estimate from shorter sequences.

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$$P(B|A) = P(B, A) / P(A) \Leftrightarrow P(A)P(B|A) = P(B, A)$$

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$$P(A, B) = P(A)P(B|A)$$

$$P(A, B, C) = P(A)P(B|A)P(C|A, B)$$

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**The Chain Rule:**

$$P(X_1, X_2, \dots, X_n) = P(X_1)P(X_2|X_1)P(X_3|X_1, X_2) \dots P(X_n|X_1, \dots, X_{n-1})$$

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*Observation: Solving V2 give us V1!*

$$P(A, B) = P(A)P(B|A)$$

$P(A, B,$

LM version 1

LM version 2

$$\underline{P(X_1, X_2, \dots, X_n)} = \underline{P(X_1, X_2, \dots, X_{n-1})} \underline{P(X_n | X_1, \dots, X_{n-1})}$$

**The Ch**

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**Markov Assumption:**

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$$\text{Thus, } P(X_1, \dots, X_n) \approx P(X_n | X_{n-k}, \dots, X_{n-1}) P(X_{n-1} | X_{(n-1)-k}, \dots, X_{n-2}) \dots P(X_1)$$

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**Unigram Model:  $k = 0$ ;** 
$$P(X_1, X_2, \dots, X_n) = \prod_{i=1}^n P(X_i)$$

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Compute  $P(w_5 | w_1, w_2, w_3, w_4) = P(w_n | w_1, w_2, \dots, w_{n-1})$

**Bigram Model:  $k = 1$ ;** 
$$P(X_1, X_2, \dots, X_n) = \prod_{i=1}^n P(X_i | X_{i-1})$$

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Example generated sentence:

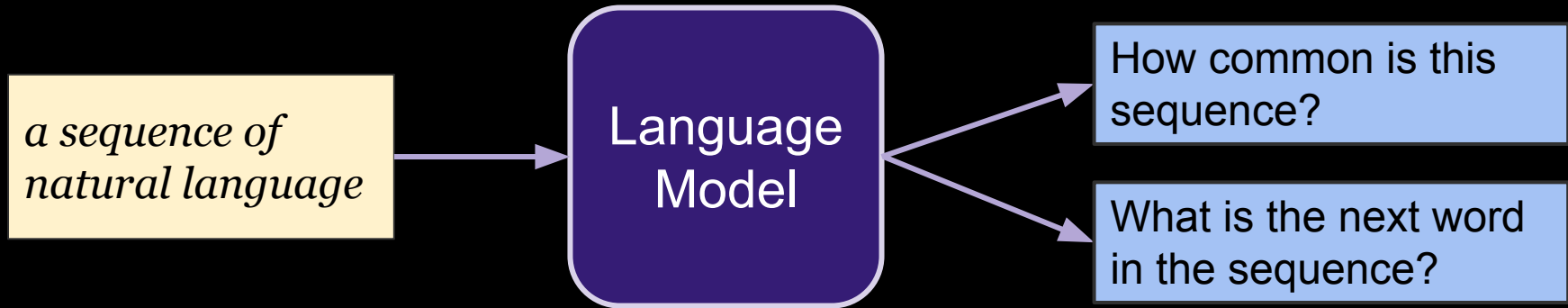
*outside, new, car, parking, lot, of, the, agreement, reached*

$P(X_1 = \text{"outside"}, X_2 = \text{"new"}, X_3 = \text{"car"}, \dots)$

$\approx P(X_1 = \text{"outside"}) * P(X_2 = \text{"new"} | X_1 = \text{"outside"}) * P(X_3 = \text{"car"} | X_2 = \text{"new"}) * \dots$

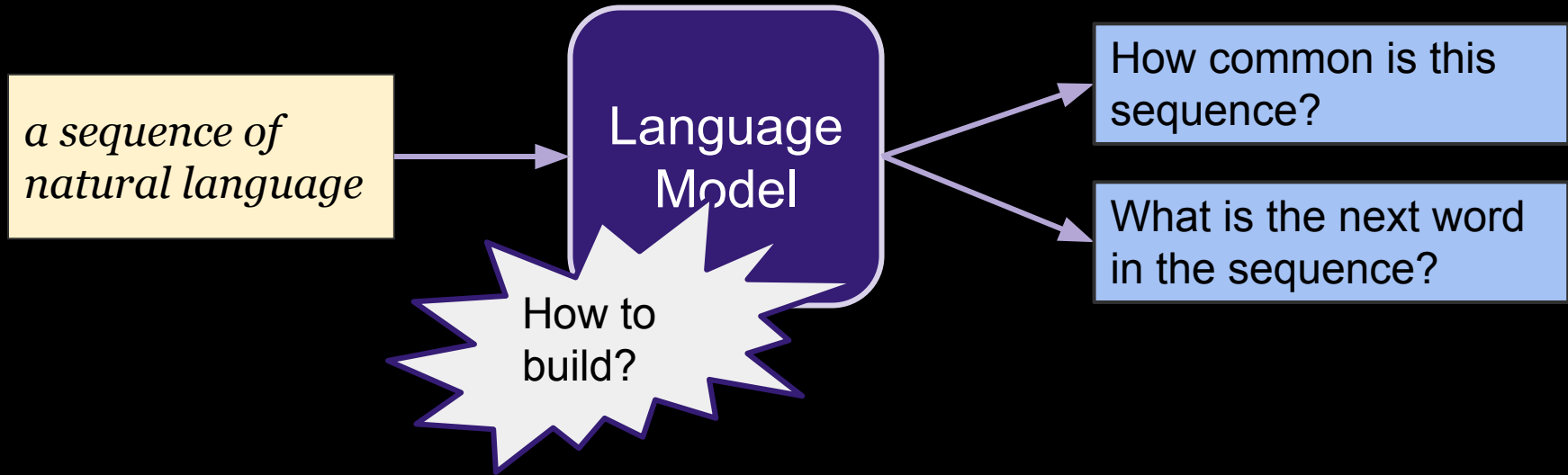
# Language Modeling

Building a model (or system / API) that can answer the following:



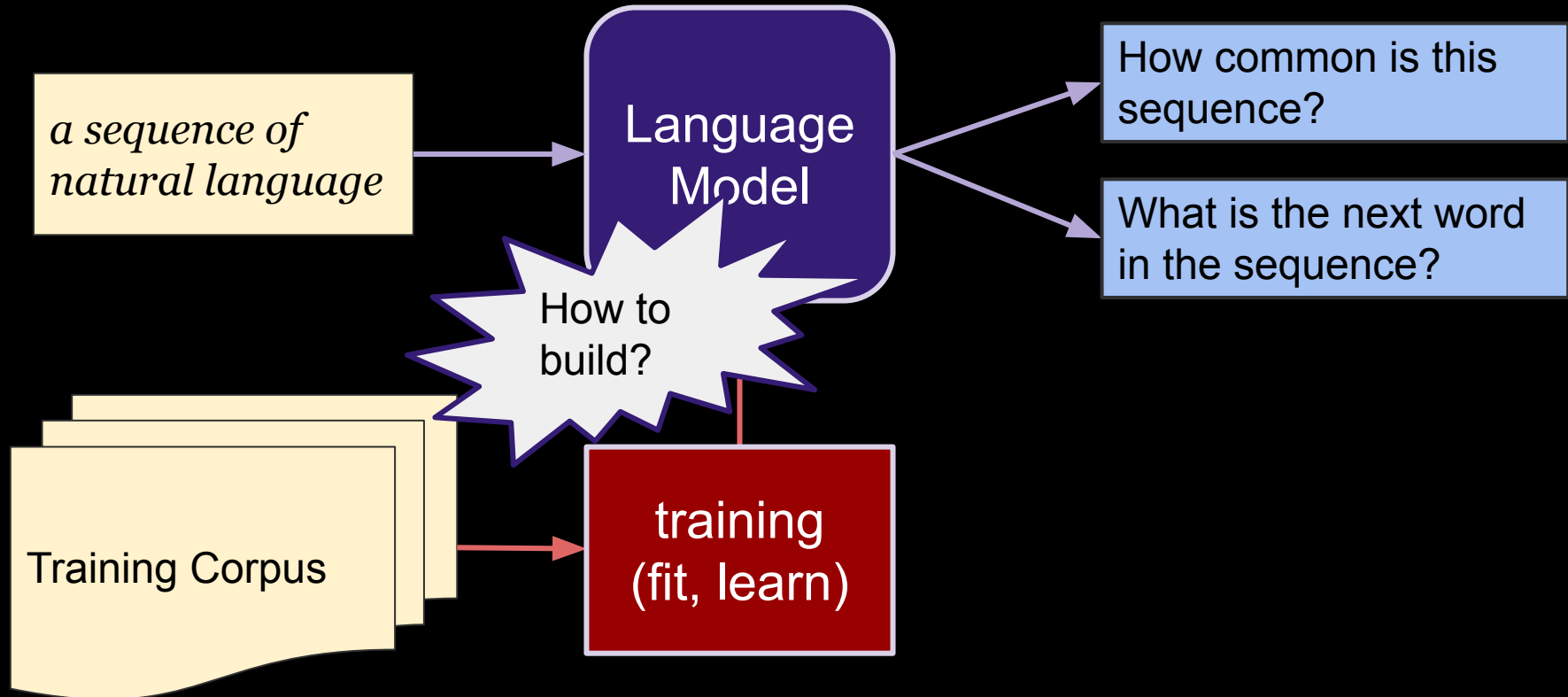
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# Language Model

## Building a model (

*a sequence of  
natural language*

Food corpus from Jurafsky (2018). Samples:

*can you tell me about any good cantonese restaurants close by*

*mid priced thai food is what i'm looking for*

*tell me about chez panisse*

*can you give me a listing of the kinds of food that are available*

*i'm looking for a good place to eat breakfast*

*when is caffe venezia open during the day*

Training Corpus

training  
(fit, learn)

## Bigram Counts

first word \ second word

	i	want	to	eat	chinese	food	lunch	spend
i	5	827	0	9	0	0	0	2
want	2	0	608	1	6	6	5	1
to	2	0	4	686	2	0	6	211
eat	0	0	2	0	16	2	42	0
chinese	1	0	0	0	0	82	1	0
food	15	0	15	0	1	4	0	0
lunch	2	0	0	0	0	1	0	0
spend	1	0	1	0	0	0	0	0

Example from (Jurafsky, 2017)

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i	want	to	eat	chinese	food	lunch	spend
2533	927	2417	746	158	1093	341	278

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training corpus (fit, learn)

**Bigram model:**  $P(X_1, X_2, \dots, X_n) = \prod_{i=1}^n P(X_i | X_{i-1})$

Need to estimate:  $P(X_i | X_{i-1}) = \text{count}(X_{i-1} X_i) / \text{count}(X_{i-1})$

second word:  $x_i$

$$P(X_i | X_{i-1})$$

first word:  $x_{i-1}$

	i	want	to	eat	chinese	food	lunch	spend
i	0.002	0.33	0	0.0036	0	0	0	0.00079
want	0.0022	0	0.66	0.0011	0.0065	0.0065	0.0054	0.0011
to	0.00083	0	0.0017	0.28	0.00083	0	0.0025	0.087
eat	0	0	0.0027	0	0.021	0.0027	0.056	0
chinese	0.0063	0	0	0	0	0.52	0.0063	0
food	0.014	0	0.014	0	0.00092	0.0037	0	0
lunch	0.0059	0	0	0	0	0.0029	0	0
spend	0.0036	0	0.0036	0	0	0	0	0

i	want	to	eat	chinese	food	lunch	spend
2533	927	2417	746	158	1093	341	278

training corpus (fit, learn)

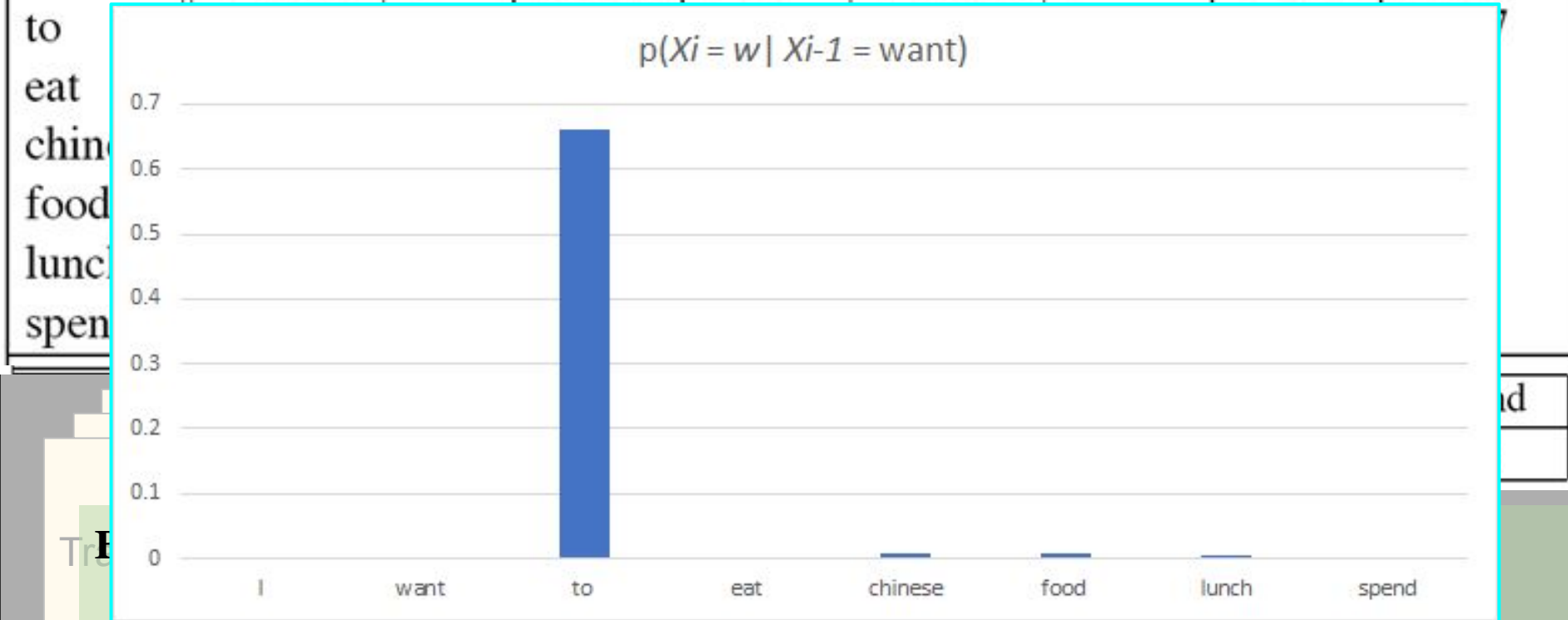
$$\text{Bigram model: } P(X_1, X_2, \dots, X_n) = \prod_{i=1}^n P(X_i | X_{i-1})$$

Need to estimate:  $P(X_i | X_{i-1}) = \text{count}(X_{i-1} X_i) / \text{count}(X_{i-1})$

first word( $X_{i-1}$ ) \ second word ( $X_i$ )

$$P(X_i | X_{i-1})$$

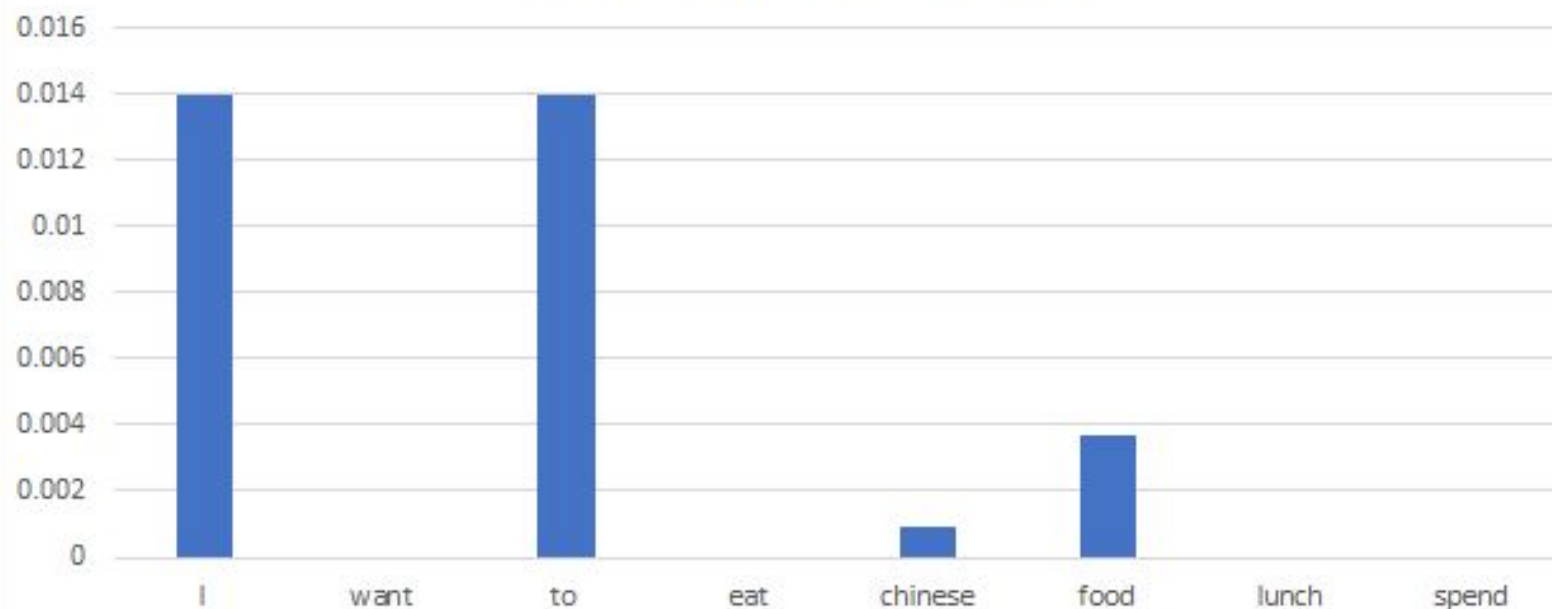
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want	0.0022	0	0.66	0.0011	0.0065	0.0065	0.0054	0.0011



Need to estimate:  $P(X_i | X_{i-1}) = \text{count}(X_{i-1} X_i) / \text{count}(X_{i-1})$

i	0.002	0.33	0	0.0036	0	0	0	0.00079
want	0.0022	0	0.66	0.0011	0.0065	0.0065	0.0054	0.0011
to	0.00083	0	0.0017	0.28	0.00083	0	0.0025	0.087
eat	0	0	0.0027	0	0.021	0.0027	0.056	0
chinese	0.0063	0	0	0	0	0.52	0.0063	0
food	0.014	0	0.014	0	0.00092	0.0037	0	0

$$p(X_i = w \mid X_{i-1} = \text{food})$$

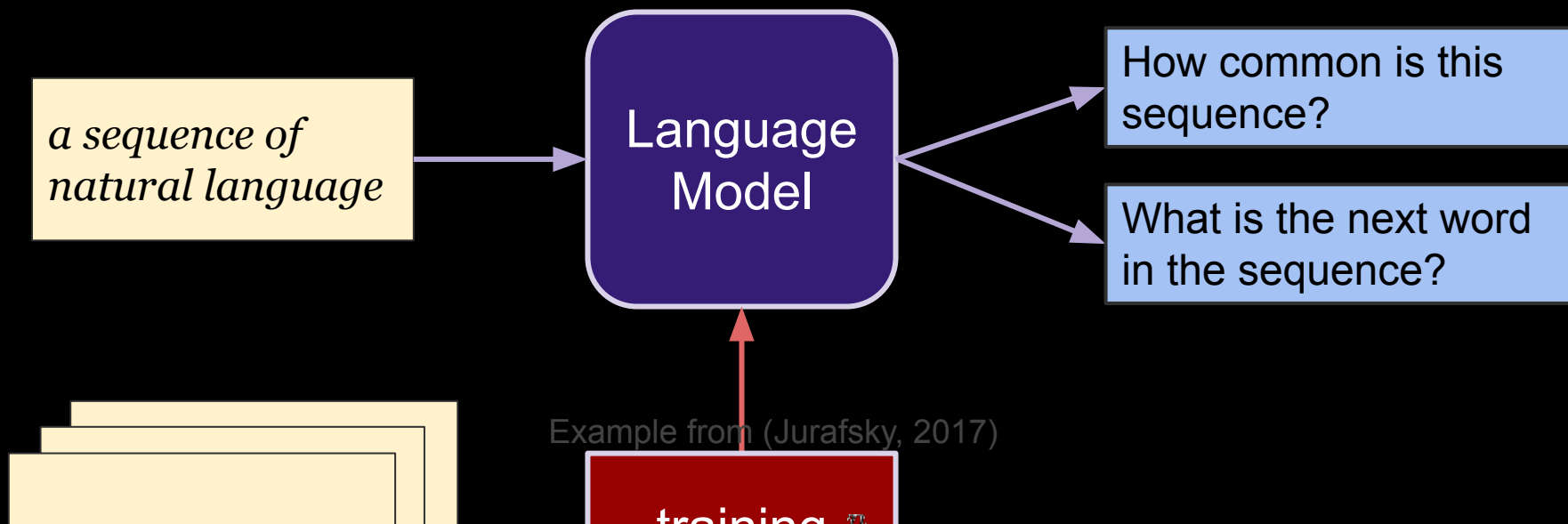


0
0
0
0
0
spend
278

count( $X_{i-1}$ )

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Building a model (or system / API) that can answer the following:



Example from (Jurafsky, 2017)

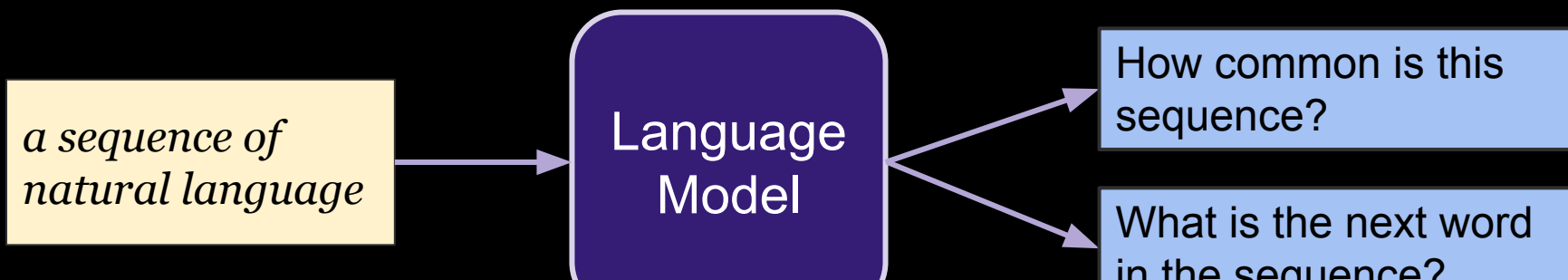
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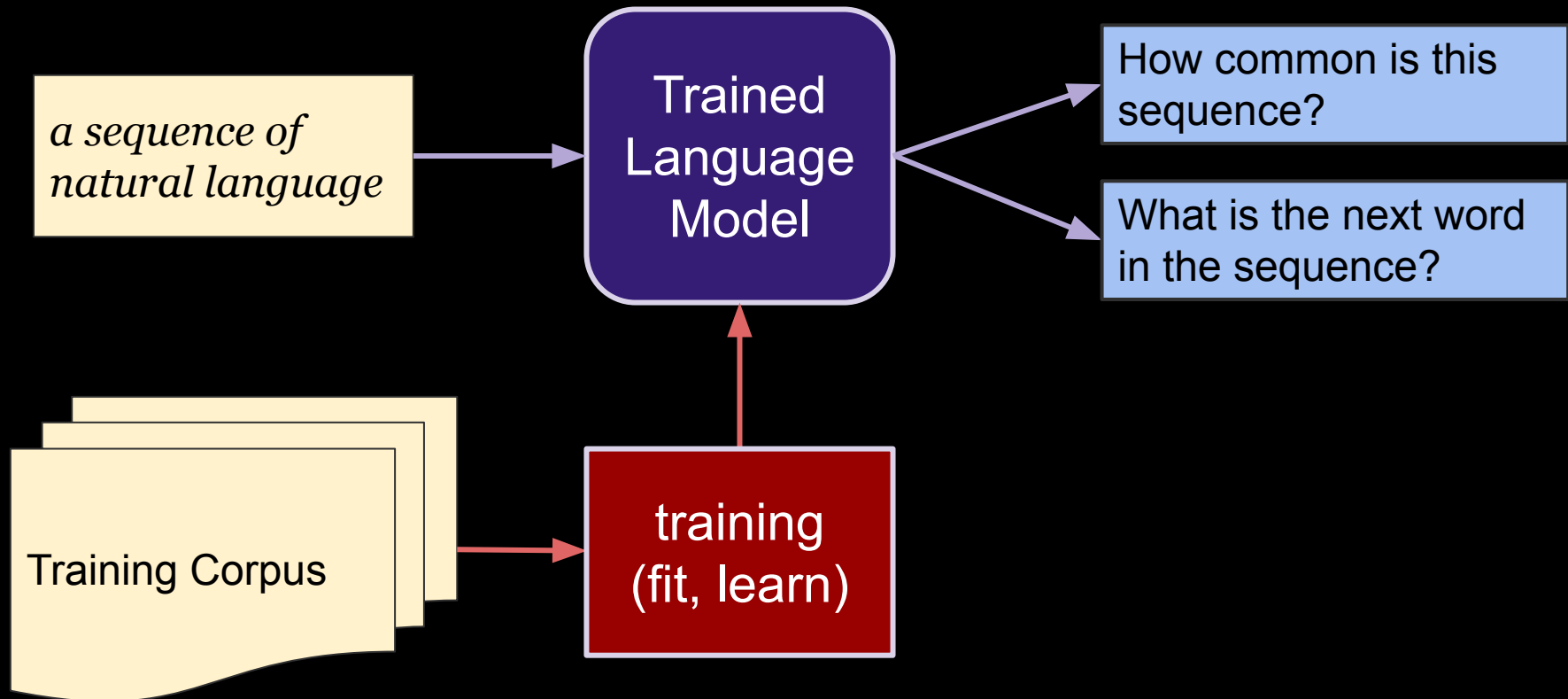
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**Bigram model:** 
$$P(X_1, X_2, \dots, X_n) = \prod_{i=1}^n P(X_i | X_{i-1})$$

Need to estimate:  $P(X_i | X_{i-1}) = \text{count}(X_{i-1} X_i) / \text{count}(X_{i-1})$

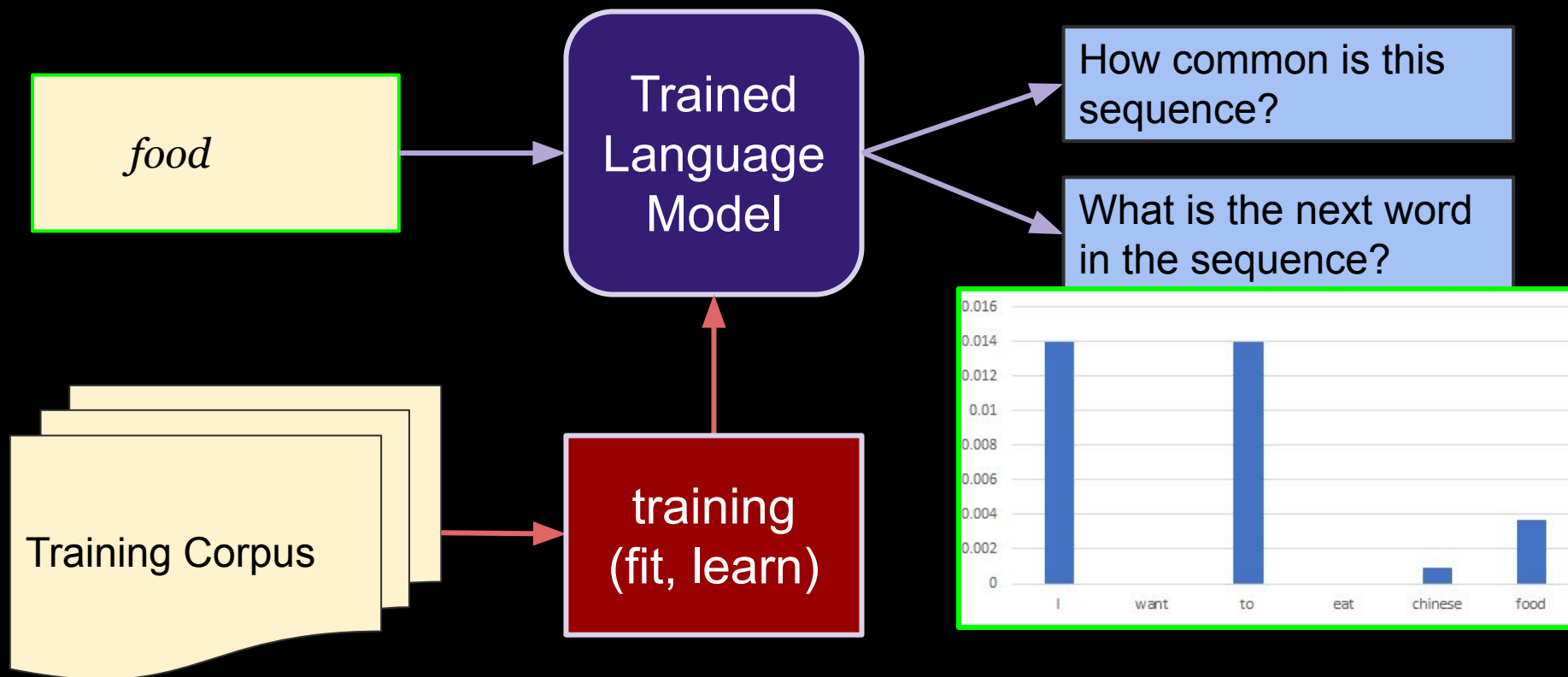
# Language Modeling

Building a model (or system / API) that can answer the following:



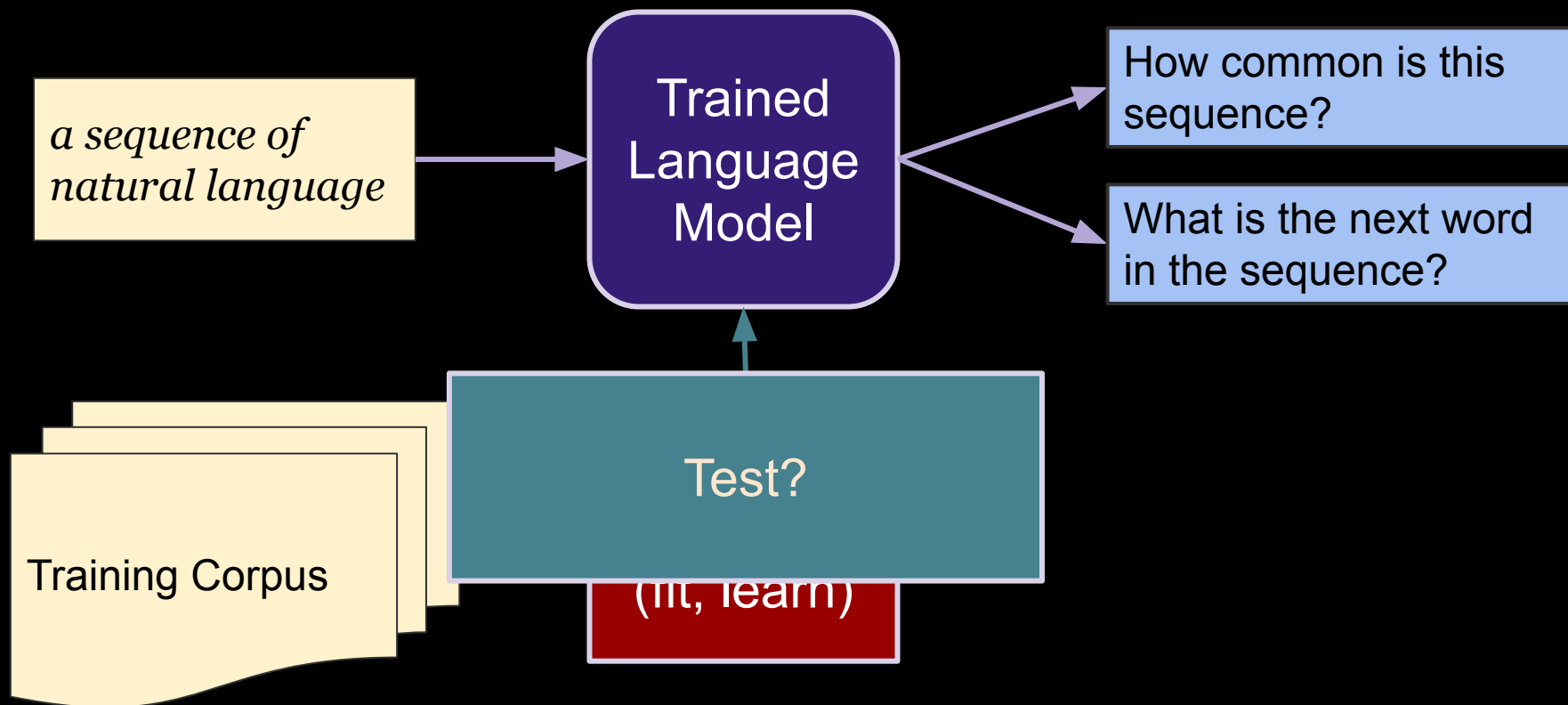
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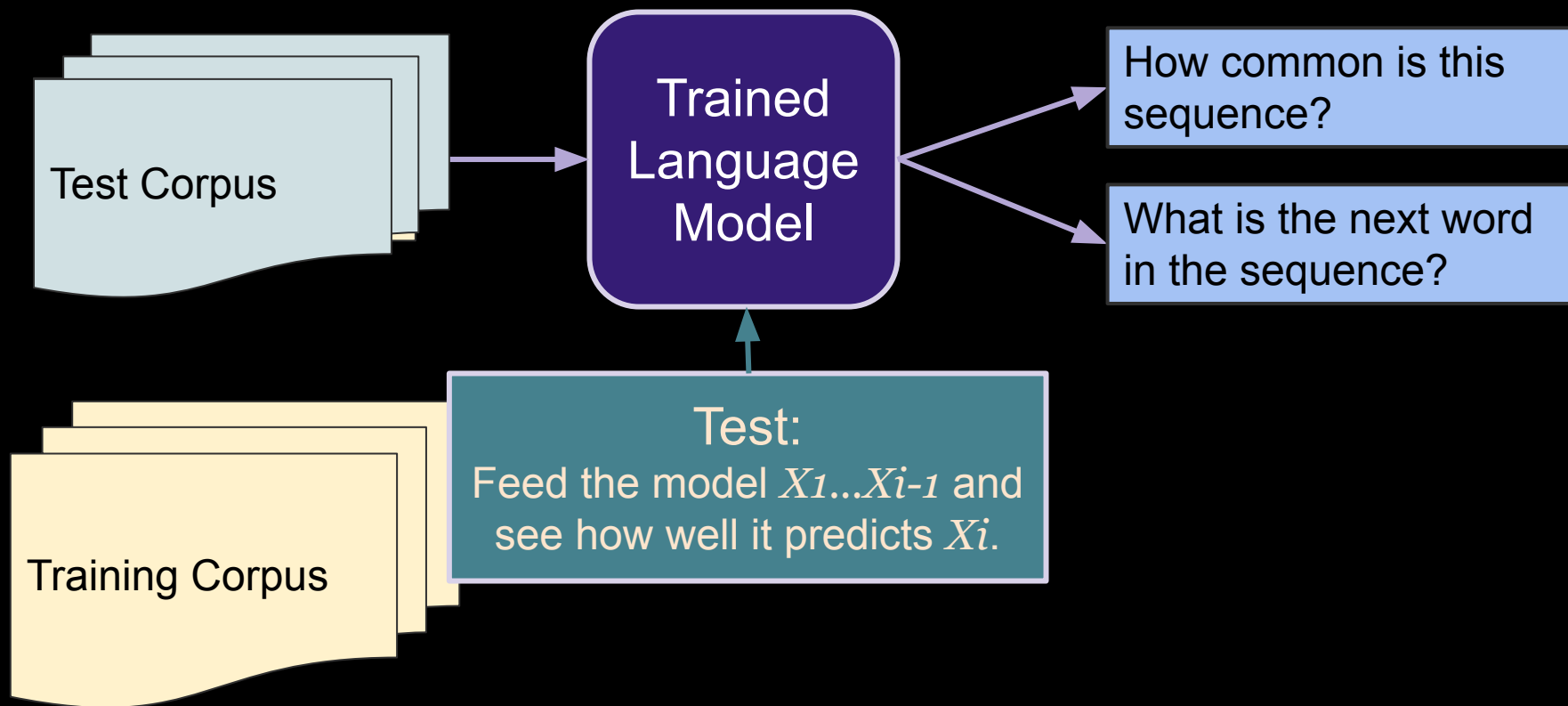
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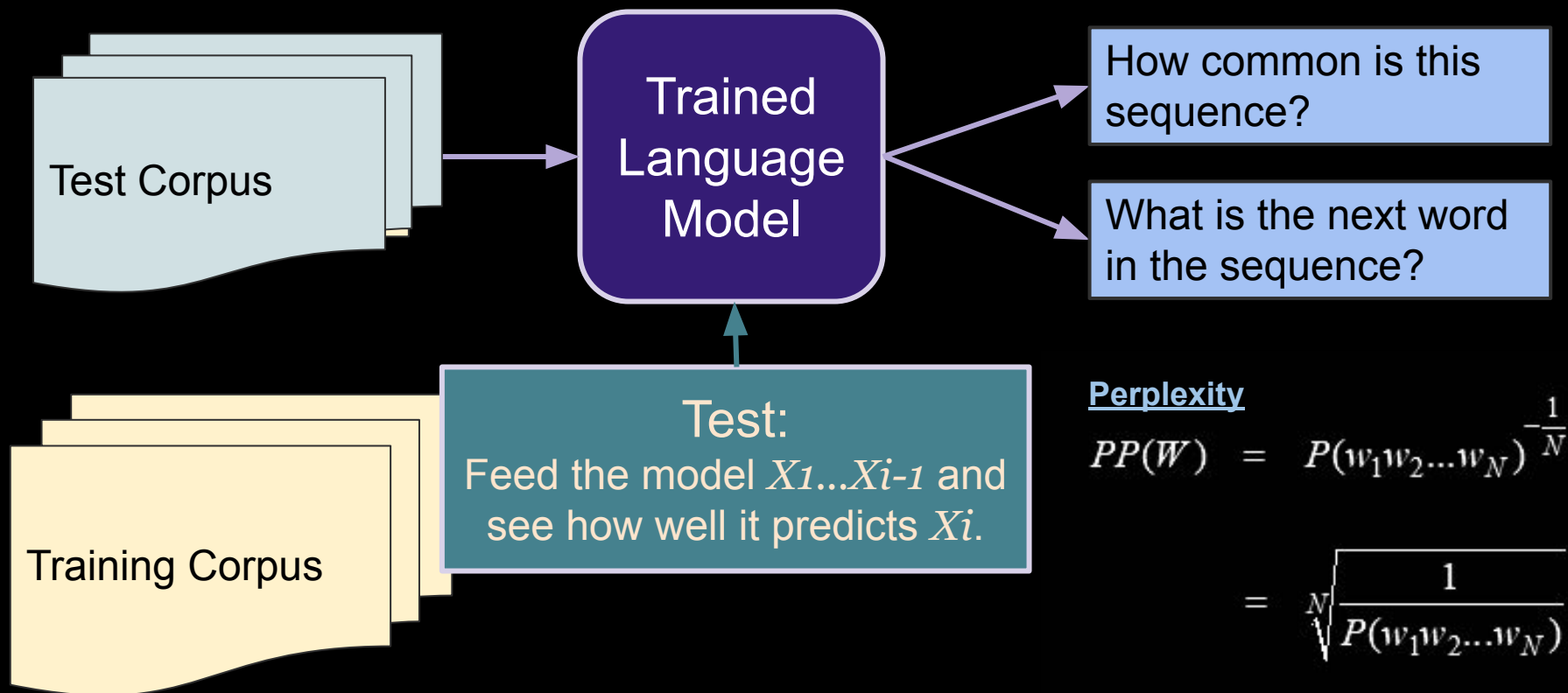
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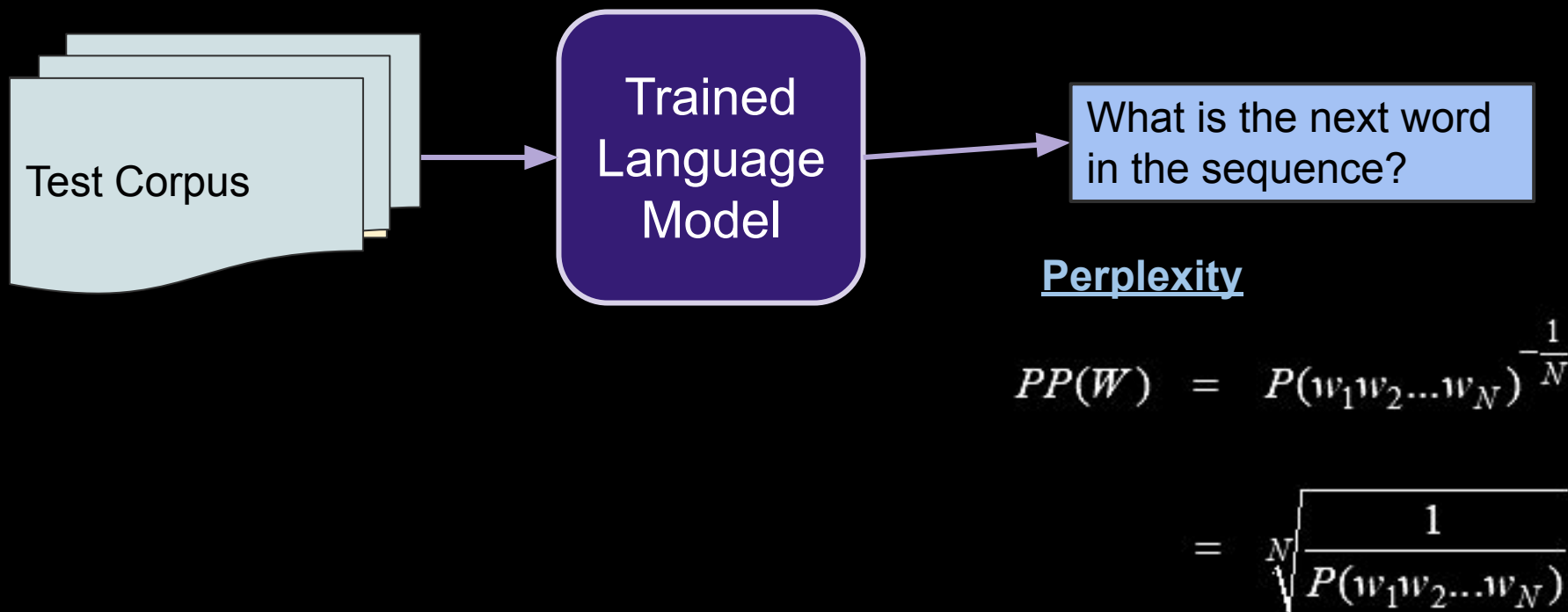


Perplexity

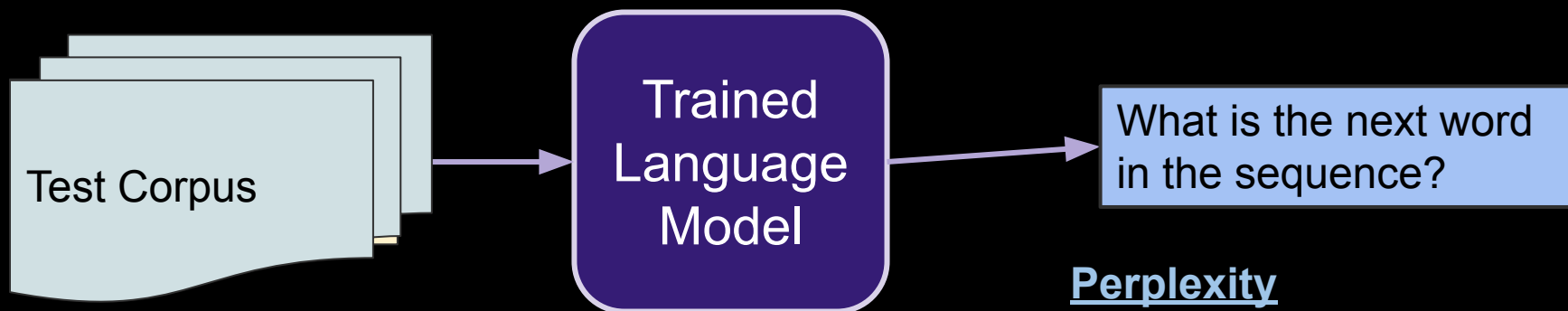
$$PP(W) = P(w_1 w_2 \dots w_N)^{-\frac{1}{N}}$$

$$= \sqrt[N]{\frac{1}{P(w_1 w_2 \dots w_N)}}$$

# Evaluation



# Evaluation

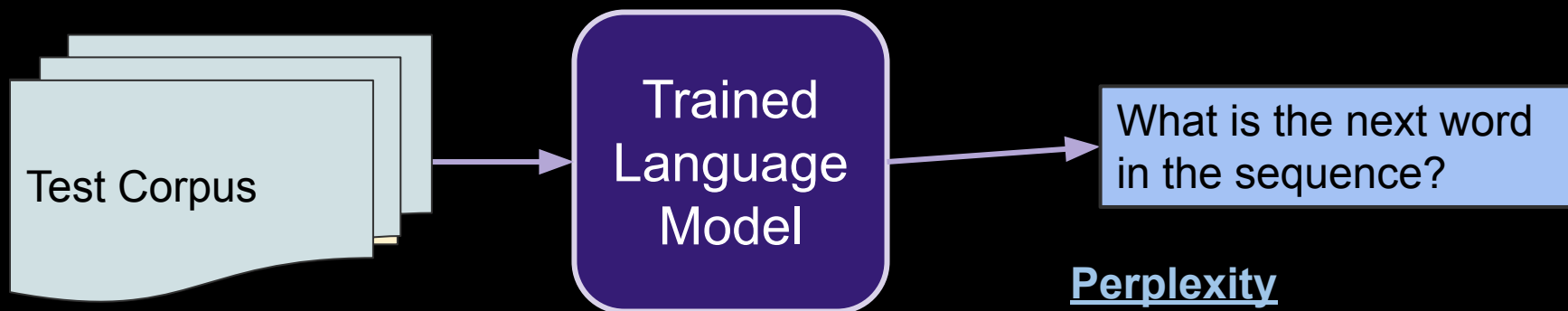


Apply Chain Rule:  $PP(W) = \sqrt[N]{\prod_{i=1}^N \frac{1}{P(w_i | w_1 \dots w_{i-1})}}$

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Thus, perplexity for Bigrams:  $PP(W) = \sqrt[N]{\prod_{i=1}^N \frac{1}{P(w_i | w_{i-1})}}$

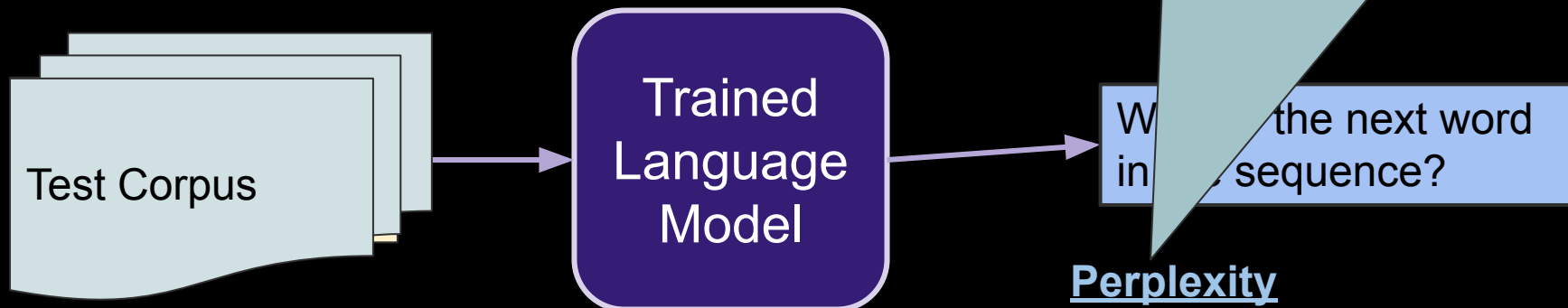
$PP(W) = P(w_1 w_2 \dots w_N)^{-\frac{1}{N}}$

$= \sqrt[N]{\frac{1}{P(w_1 w_2 \dots w_N)}}$

# Evaluation

Reasoning:

- 1) Inverse of probability  
(i.e. minimize perplexity = maximize likelihood)
- 2) (weighted) average branching factor



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# Evaluation

Reasoning:

- 1) Inverse of probability  
(i.e. minimize perplexity = maximize likelihood)
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**Qualitatively: Prefers real sentences**

(sequences that are more grammatical, make sense).

Test Corpus

Language  
Model

In the ... se?

Perplexity

Apply Chain Rule:  $PP(W) = \sqrt[N]{\prod_{i=1}^N \frac{1}{P(w_i | w_1 \dots w_{i-1})}}$

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# Evaluation Summary

- Use *training set* to "learn model"  
(i.e. to store counts, from which we can derive probability for any  $p(w_i | w_{i-1}, w_{i-2})$ )
- Use held-out *testing set* to evaluate
- Perplexity -- metric for scoring how well learned model works on test.  
(an *intrinsic* evaluation)

Training 38 million words, test 1.5 million words, WSJ

N-gram Order	Unigram	Bigram	Trigram
Perplexity	962	170	109

# Evaluation Summary

- Use *training set* to "learn model"  
(i.e. to store counts, from which we can derive probability for any  $p(w_i | w_{i-1}, w_{i-2})$ )
- Use held-out *testing set* to evaluate
- Perplexity -- metric for scoring how well learned model works on test.  
(an *intrinsic* evaluation)
- *Extrinsic* evaluation: Test on task accuracies
  - machine translation: does it improve translation accuracy
  - autocomplete: do users like the suggestions
  - speech recognition: does it improve transcription accuracy
  - spelling corrector,  
etc...

## Practical Considerations for LMs:

- Use log probability for assessing perplexity to keep numbers reasonable and save computation.  
(uses addition rather than multiplication)
- Use Out-of-vocabulary (OOV) (unknown word token)  
Choose a minimum frequency or total vocabulary size and mark as <OOV>
- Sentence start and end: <*s*> *this is a sentence* </*s*>  
Advantage: models word probability at beginning or end.

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- Sentence start and end: <s> *this is a sentence* </s>  
Advantage: models word probability at beginning or end.
- This is also "auto-regressive" or generative language modeling.  
"auto-encoding" using context on both sides – use for creating embeddings.

Problem?



# Problem?

- High probabilities in context of rare words
- Zero probabilities for when count was zero (but is that realistic?)

# Zeros!

first word \ second word

## Bigram Counts

	i	want	to	eat	chinese	food	lunch	spend
i	5	827	0	9	0	0	0	2
want	2	0	608	1	6	6	5	1
to	2	0	4	686	2	0	6	211
eat	0	0	2	0	16	2	42	0
chinese	1	0	0	0	0	82	1	0
food	15	0	15	0	1	4	0	0
lunch	2	0	0	0	0	1	0	0
spend	1	0	1	0	0	0	0	0

# Zeros and Smoothing

first word \ second word      Bigram Counts

	i	want	to	eat	chinese	food	lunch	spend
i	6	828	1	10	1	1	1	3
want	3	1	609	2	7	7	6	2
to	3	1	5	687	3	1	7	212
eat	1	1	3	1	17	3	43	1
chinese	2	1	1	1	1	83	2	1
food	16	1	16	1	2	5	1	1
lunch	3	1	1	1	1	2	1	1
spend	2	1	2	1	1	1	1	1

Laplace (“Add one”) smoothing: add 1 to all counts

# Unsmoothed probs

first word( $X_{i-1}$ ) \ second word ( $X_i$ )

$$P(X_i | X_{i-1})$$

	i	want	to	eat	chinese	food	lunch	spend
i	0.002	0.33	0	0.0036	0	0	0	0.00079
want	0.0022	0	0.66	0.0011	0.0065	0.0065	0.0054	0.0011
to	0.00083	0	0.0017	0.28	0.00083	0	0.0025	0.087
eat	0	0	0.0027	0	0.021	0.0027	0.056	0
chinese	0.0063	0	0	0	0	0.52	0.0063	0
food	0.014	0	0.014	0	0.00092	0.0037	0	0
lunch	0.0059	0	0	0	0	0.0029	0	0
spend	0.0036	0	0.0036	0	0	0	0	0

Example from (Jurafsky, 2017)

# Smoothed

$$P_{Add-1}(w_i | w_{i-1}) = \frac{c(w_{i-1}, w_i) + 1}{c(w_{i-1}) + V}$$

(vocabulary size)

first word( $X_{i-1}$ ) \ second word ( $X_i$ )

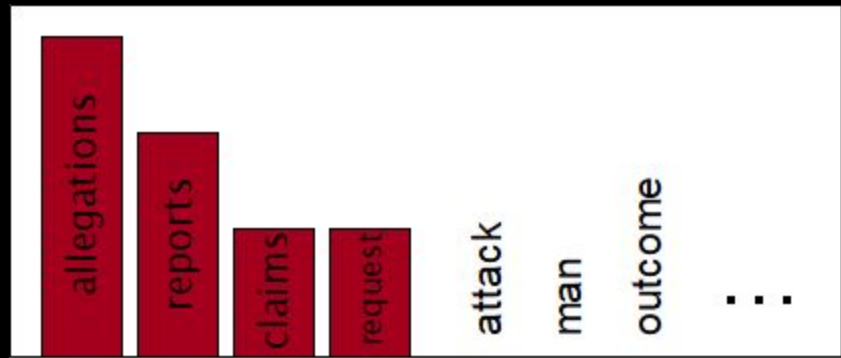
**$P(X_i | X_{i-1})$**

	i	want	to	eat	chinese	food	lunch	spend
i	0.0015	0.21	0.00025	0.0025	0.00025	0.00025	0.00025	0.00075
want	0.0013	0.00042	0.26	0.00084	0.0029	0.0029	0.0025	0.00084
to	0.00078	0.00026	0.0013	0.18	0.00078	0.00026	0.0018	0.055
eat	0.00046	0.00046	0.0014	0.00046	0.0078	0.0014	0.02	0.00046
chinese	0.0012	0.00062	0.00062	0.00062	0.00062	0.052	0.0012	0.00062
food	0.0063	0.00039	0.0063	0.00039	0.00079	0.002	0.00039	0.00039
lunch	0.0017	0.00056	0.00056	0.00056	0.00056	0.0011	0.00056	0.00056
spend	0.0012	0.00058	0.0012	0.00058	0.00058	0.00058	0.00058	0.00058

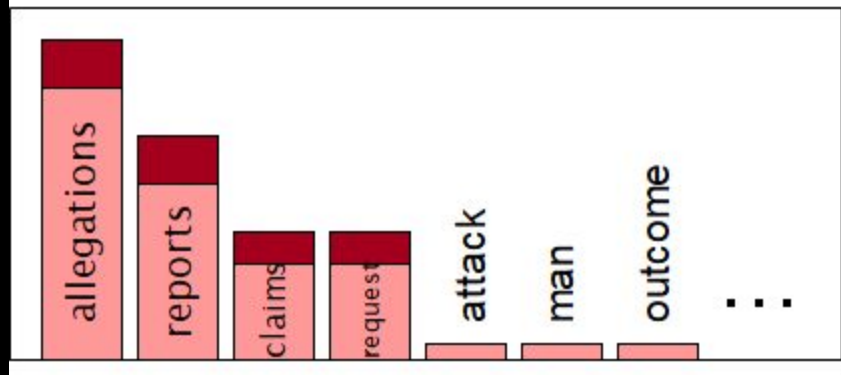
Example from (Jurafsky, 2017)

# Why Smoothing? Generalizes

Original



With Smoothing



(Example from Jurafsky / Originally Dan Klein)

# Why Smoothing? Generalizes

Add-one is blunt:  
can lead to very large changes.

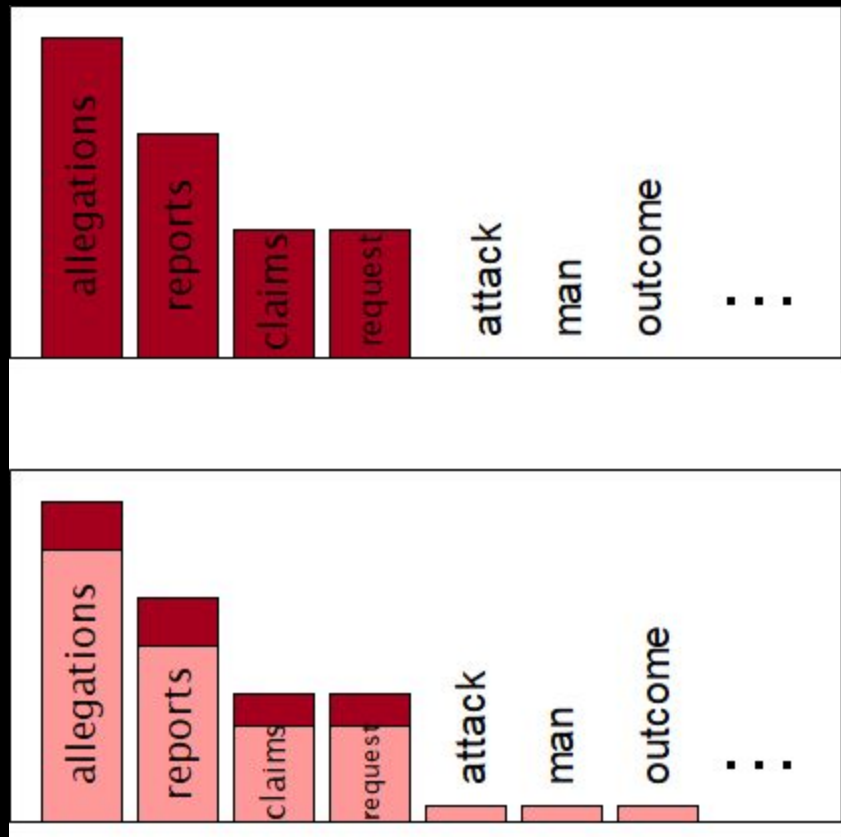
More Advanced:

Informative Prior:  
Add unigram probability

More advanced:

- Good-Turing Smoothing
- Kneser-Nay Smoothing

^ outside scope for now. We will eventually cover, even stronger, deep learning based models.



# Example how to produce language generator

## Training:

- Count unigrams, bigrams, and trigrams
- Create function to calculate probabilities for unigram, bigram, and trigram models (over training, with smoothing)



# Example how to produce language generator

## Training:

- Count unigrams, bigrams, and trigrams
- Create function to calculate probabilities for unigram, bigram, and trigram models (over training, with smoothing)

## Generation

- Create function: Given previous word or previous 2 words, take a random draw from what words are most likely to be next.

Trigram model and bigram when possible (high counts)

Backing off to bigram or even unigram, if necessary

# Language Modeling Summary

- Two versions of assigning probability to sequence of words
- Applications
- The Chain Rule, The Markov Assumption:  $P(X_1, X_2, \dots, X_n) = \prod_{i=1}^n P(X_i | X_{i-k}, X_{i-(k-1)}, \dots, X_i)$
- Training a unigram, bigram, trigram model based on counts
- Evaluation: Perplexity
- Zeros, Low Counts, and Generalizability
- Add-one smoothing

**Limitation:** Long distance dependencies

*The horse which was raced past the barn tripped .*